

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 011687)

In the Application of:)	
)	
Casey Chung)	Group Art Unit: TBA
)	
Serial No. TBA)	Examiner: TBA
)	
Filed: February 17, 2004)	
)	
For: System of, and Method for,)	
Indirect Lighting)	

INFORMATION DISCLOSURE STATEMENT

Asst. Commissioner of Patents
Washington, DC 20231

Pursuant to 37 C.F.R. § 1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. § 1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed PTO Form 1449.

This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(b) within three months of this application's filing date or before the mailing date of a first Office Action on the merits. Accordingly, there is no fee due for filing this Information Disclosure Statement.

Under 37 C.F.R. § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the cited references are, or are considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

REFERENCES

U.S. References

U.S. Patent No. 2002/0172046 A1, issued 11/21/2003, Perlo, et al.
U.S. Patent No. 6,416,201, issued 7/9/2002, Strand, et al.
U.S. Patent No. 6,305,824 B1, issued 10/23/2001, Holten
U.S. Patent No. 5,570,525, issued 11/5/1996, Paglieri, et al.
U.S. Patent No. 5,105,345, issued 4/14/1992, Katoh, et al.
U.S. Patent No. 4,516,197, issued 5/7/1985, Yonkers
U.S. Patent No. 4,418,378, issued 11/29/1983, Johnson
U.S. Patent No. 3,628,007, issued 12/14/1971, Gunnar, et al.
U.S. Patent No. 1,217,420, issued 2/27/1917, Davis, et al.
U.S. Patent No. DES 397,819, issued 9/1/1998, Thornton, Jr.
U.S. Patent No. 5,988,836, issued 11/23/1999, Swarens
U.S. Patent No. 6,561,678 B2, Issued 5/13/2003, Loughrey
U.S. Patent No. 5,708,460, issued 1/20/1998, Lester
U.S. Patent No. 5,967,648, issued 10/19/1999, Barnes, II et al.
U.S. Patent No. 4,884,178, issued 11/28, 1989, Roberts
U.S. Patent No. 4,833,575, issued 5/23/1989, Hamilton
U.S. Patent No. 4,390,930, issued 6/28/1983, Herst, et al.
U.S. Patent No. 4,388,675, issued 6/14/1983, Lewin
U.S. Patent No. 5,097,401, issued 3/17, 1992, Eppler
U.S. Patent No. 5,278,738, issued 1/11/1994, Illes
U.S. Patent No. 4,974,137, issued 11/27/1990, Evans, Jr., et al.
U.S. Patent No. 6,266,136, issued 7/24/2001, Ramer, et al.
U.S. Patent No. 6,238,077, issued 5/29/2001, Ramer, et al.
U.S. Patent No. 6,088,091, issued 7/11/2000, Ramer, et al.
U.S. Patent No. 6,064,061, issued 5/16/2000, Ramer, et al.
U.S. Patent No. 6,043,873, issued 3/28/2000, Ramer, et al.
U.S. Patent No. 5,914,487, issued 6/22/1999, Ramer, et al.
U.S. Patent No. 5,733,028, issued 3/31/1998, Ramer, et al.
U.S. Patent No. 5,967,652, issued 10/19/1999, Ramer, et al.

Foreign References

JP 03-190004, published 20.08.1991, Shin Etsu Polymer Co., Ltd.

Other Prior Art

Renaissance Lighting Wall Washer CMH Series Specifications
LAM Performance Indirect, HPD Specifications
Alera Lighting IPR Low Profile Indirect Parabolic Reflector Specifications 2-1C
Finelite, Inc., Finelite Series 14 – Technical Sheet
Alera Lighting Technical Installation Data IPRL 2-1.1C
Alera Lighting Indirect IPR Indirect Parabolic Reflector 2-2C

Respectfully submitted,

BANNER & WITCOFF, LTD

Dated: February 17, 2004

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	TBA
Filing Date	February 17, 2004
First Named Inventor	Casey Chung
Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	011687.00036

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-2002/0172046 A1	11/21/2002	Perlo, et al.	
		US- 6,416,201	7/9/2002	Strand, et al.	
		US- 6,305,824 B1	10/23/2001	Holten	
		US- 5,570,525	11/5/1996	Paglieri, et al.	
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		US 5,733,028	3/31/1998	Ramer, et al.	
		US 5,967,652	10/19/1999	Ramer, et al.	

FOREIGN PATENT DOCUMENTS

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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		JP 03-190004	20.08.1991	Shin Etsu Polymer Co Ltd.		

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet 2 Of 2

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Group Art Unit	TBA
Examiner Name	TBA
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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Renaissance Lighting Wall Washer CMH Series Specifications	
		LAM Performance Indirect, HPD Specifications	
		Alera Lighting IPR Low Profile Indirect Parabolic Reflector Specifications 2-1C	
		Finelite, Inc., Finelite Series 14 - Technical Sheet	
		Alera Lighting Technical Installatin Data IPRL 2-1.1 C	
		Alera Lighting Indirect IPR Indirect Parabolic Reflector 2-2 C	

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